



NATIONAL TEXTILE CORPORATION LIMITED

(A Govt. of India Undertaking)

CIN No: U74899DL1968GOI004866

Core IV, SCOPE Complex, 7, Lodhi Road, New Delhi – 110003

Tel. No. 011- 24362622, Fax No. 011- 24361112, website : www.ntcltd.org

**TENDER NOTICE FOR
ENGAGEMENT OF FIRM OF CHARTERED ACCOUNTANTS/COST
ACCOUNTANTS / COMPANIES / LLPS
FOR FORENSIC AUDIT OF NTC LTD.**

Interested parties may visit NTCL's website – www.ntcltd.org under TENDERS or <https://eprocure.gov.in/cppp/> for detailed tender document. Last date of tender submission is 20.06.2020 upto 5:00 P.M. Tenders will be opened on 22.06.2020 at 11:00 A.M.

Pre-bid meeting will be held at 11.00 A.M. on 05.06.2020 at **National Textile Corporation Limited**, Core IV, SCOPE Complex, 7, Lodhi Road, New Delhi – 110003.

Addendum / Corrigendum, if any, to the tender document will be published only on NTCL website and CPPP Portal.

Deputy General Manager (Finance)

**Request for Proposal (RFP) for
Engagement of Firm of Chartered Accountants/Cost Accountants/
Companies/LLPs for Forensic Audit of NTC Ltd.**

RFP No. NTCL/Forensic Audit/2020 dated 30.05.2020

National Textile Corporation Limited
Registered Office: Core IV, SCOPE Complex, 7, Lodhi Road, New Delhi-110003

INSTRUCTION TO BIDDER

All Bidders shall note the followings:

- (a) Bids that are incomplete in any respect or those that are not consistent with the requirements as specified in this bid document may be considered non-responsive and may be liable for rejection.
- (b) Strict adherence to formats, wherever specified, is required. Non-adherence to formats shall be a ground for declaring the Bid non-responsive.
- (c) All communication and information should be provided in writing and in the English language only.
- (d) The metric system shall be followed for units.
- (e) All communication and information provided shall be legible, and wherever the information is given in figures, the same should also be mentioned in words. In case of conflict between amounts stated in figures and words, the amount stated in words will be taken as correct.
- (f) No change in, or supplementary information to a Bid shall be accepted once submitted. However, NTCL reserves the right to seek additional information from the Bidders, if found necessary, during the course of evaluation of the Bid. Non-submission, incomplete submission or delayed submission of such additional information or clarifications sought by NTCL, may be a ground for rejecting the Bid.
- (g) The Bids shall be evaluated as per the criteria specified in this Bid by the Committee constituted for the purpose. However, within the broad framework of the evaluation parameters as stated in the bid document, NTCL reserves the right to make modifications to the stated evaluation criteria, which would be uniformly applied to all the Bidders.
- (h) The Bid (and any additional information requested subsequently) shall also bear the initials of the Authorised Signatory and stamp of the entity thereof on each page of the Bid.
- (i) NTCL reserves the right to reject any or all of the Bids without assigning any reason whatsoever.
- (j) Mere submission of information does not entitle the Bidder to meet an eligibility criterion. NTCL reserves the right to vet and verify any or all information submitted by the Bidder.
- (k) If any claim made or information provided by the Bidder in the Bid or any information provided by the Bidder in response to any subsequent query by NTCL, is found to be incorrect or is a material misrepresentation of facts, then the Bid will be liable for rejection. Mere clerical errors or bona fide mistakes may be treated as an exception at the sole discretion of NTCL and if NTCL is adequately satisfied.
- (l) The Bidder shall be responsible for all the costs associated with the preparation of the Bid. NTCL shall not be responsible in any way for such costs, regardless of the conduct or outcome of this process.

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1. **IMPORTANT POINTS FOR PARTICIPATION:**

The eligible Bidders are requested to read carefully the instructions contained in the Request for Proposal (RFP) and submit their bids in line with the requirement indicated. The bid is required to be submitted as follows:

Bid to be submitted at /Bid sent by Post/ Courier to be addressed to		Smt. Deepika Sharma Deputy General Manager(Finance), National Textile Corporation Limited, 6 th Floor, Core IV, SCOPE Complex, 7, Lodhi Road, New Delhi-110 003 (India). <i>(For clear identification, the Envelope containing bid should be clearly super scribed with the RFP Reference no., due date of submission, due date of opening)</i>	
Sl. No.	Tender Schedule	Start Date & Time	End Date & Time
1.	Tender Authorization and Publishing	30.05.2020, 5:00 PM	20.06.2020, 05:00 PM
2.	Tender Document Download	30.05.2020, 5:01 PM	20.06.2020, 05:00 PM
3.	Pre Bid Meeting	05.06.2020, 11.00 AM	
4.	Bid Submission	30.05.2020, 5:01 PM	20.06.2020, 05:00 PM
5.	Opening Envelope 1 - Tender Fees, EMD & Technical Proposal	22.06.2020, 11:00 A.M.	
6.	Opening Envelope 2 - Financial Proposal	Date of opening of Financial Bid will be intimated after the technical evaluation.	
Validity of the Offer for acceptance by NTCL		Bids shall remain valid for minimum 180 days from the date of last date of submission of Bids.	

Summary of other relevant details of the RFP is as under:

1.1	Time line for submission of Final Report	3 months from the date of signing of agreement with NTCL
1.2	Scope of Work (Terms of Reference)	As detailed in RFP
1.3	Shortlisting of Bidders for Financial Evaluation	Based on Technical Qualification / Evaluation.
1.4	Evaluation criteria for Successful Bidder	Final evaluation will be based only on L1 quoted rates of technically qualified bidders.
1.5	Payment	10% of the success fee on commencement of Assignment against 110% Bank Guarantee, 40% on submission of draft report and 50% on acceptance of final report.
1.6	Confidentiality Clause	As detailed in the RFP
1.7	Conflict of Interest Provisions	As detailed in the RFP

2. OVERVIEW

National Textile Corporation Limited (NTCL) is a schedule “A” public sector company under the ambit of Ministry of Textile, Government of India, engaged in production of yarn and fabric through its 23 units in operation, located all over India with 8 lakh spindles and 408 looms producing around 550 lac kgs of yarn and 200 lac meters of fabric per annum. 5 mills of NTC are currently operational under Joint Venture for which MoU got signed in November, 2007. MoU for another trench of 11 mills to be revived through Joint venture Route got signed in 2008 and later got cancelled in September, 2010. In addition NTCL has well established retail network throughout the country with its 85 retail stores. Apart from Joint ventures, NTCL also have Subsidiary and Associate companies.

NTCL was established primarily to manage the affairs of the sick textile undertakings taken over by the Govt. of India in three Nationalization Acts in the years 1974; 1986; and 1995. On account of obsolete technology; excess manpower; poor productivity etc. 8 of its 9 subsidiaries were referred to Board for Financial & Industrial Reconstruction (BIFR) in the year 1992-93. The BIFR approved Revival Schemes for all the 9 subsidiaries – 8 of them in the year 2002-03 and 9th in the year 2005. The Company has been implementing the Revival Scheme since then. All the nine subsidiaries of NTCL got merged with the holding company w.e.f. 01.04.2006. The original Sanctioned Scheme (SS-02) of 2002-03 was to be implemented at a total cost of Rs.3937 crores with a component of Rs.736 crores allocated towards modernization of 53 units. This scheme was modified twice – first in the year 2006 Modified Scheme – 06 (MS – 06) at a revised total cost of Rs.5267 crores which included a component of Rs.530 crores for modernization of 22 units and for the second time in the year 2008 Modified Scheme – 08 (MS – 08) at a revised total cost of Rs.9102 crores with component of Rs.1155 crores towards modernization of 22 units with extended capacity including setting up of 4 new units. This scheme was extended up to 31.03.2012 by BIFR

NTCL ceased to be a sick industrial company, within the meaning of section 3(1)(0) of Sick Industrial Companies Act (SICA) because of its net worth turning to positive, vide BIFR order dated 20.10.2014.

NTC has huge land bank with total land measuring around 3659.38 acres out of which 1008.79 acres is lease hold and remaining 2650.59 acres is freehold.

More information about NTCL is available at www.ntcltd.org.

3. PROPOSAL

NTCL proposes to engage a Firm of Chartered Accountants/ Cost Accountants/ Companies/LLPs for Forensic Audit of NTC Ltd. on the areas and issues mentioned in the scope of work.

4. SCOPE OF WORK OF FORENSIC AUDIT (Terms of Reference)

- 4.1 Verification of legal & official documents of land.
- 4.2 Verification of the sales deed and also commenting on transactions of sale amount, which seems not to be transactions at arm's length price.
- 4.3 Verifying the process of sale of land including checking tender / bid process, controls in the awarding tender / bid.
- 4.4 Verification of lease deeds and also commenting on the arrangement made in the Lease Agreement which were not to be in the normal case.
- 4.5 In depth analysis of the arrangements of JVs.
- 4.6 Ascertaining the trail of the land.
- 4.7 Identification of the receipts of funds from the sale of land and the utilization thereof.
- 4.8 Physical verification of the land to be done and also comment on the status of the same.
- 4.9 KYC of the person to whom the property given under lease or sold.

5 TIME LINES FOR COMPLETION OF WORK

- 5.1 It may be indicated that for the purpose of preparing the report; the consultant would be required to visit various places where the Joint Venture, Subsidiary & Associate Companies and Land of NTC are situated and the management will facilitate the visits. If any supporting document is required by the consultant the same will be provided by the NTCL.
- 5.2 Consultant shall be required to submit a draft report within a period of 2 (two) months from the date of appointment and make detailed presentations on the subject matter within a week from the date of submission of draft report to the management of NTCL. Post presentation, the Consultant shall, if required, incorporate the suggestions as may be requested or provide for any other additional clarification that may be required. The final deliverable shall be a Final report to be submitted within three months from the date of appointment.
- 5.3 The Terms of Reference (ToR) mentioned above are indicative and non- exhaustive in nature. There might be some services relevant but not expressly captured in the aforesaid ToR, which upon being brought to the notice of the Consultant by NTCL will also form an integral and mandatory part of the ToR.
- 5.4 If the final report as submitted by the Consultant is found not to be in conformity with ToR as mentioned above, then NTCL reserves the right to reject the report in toto.

6 ELIGIBILITY CRITERIA/TECHNICAL QUALIFICATION

- 6.1 The bidder should be empanelled as the Forensic Auditor with Securities and Exchange Board of India (SEBI) on the date of issue of tender notice with validity of term for at least 8 months therefore.
- 6.2 Consortium bidding is not permitted. Sub-contracting may be allowed with the permission of NTCL. However, the overall responsibility on the outsourced work will be that of the appointed consultant.
- 6.3 The bidder should submit a self attested copy each of PAN, GST registration certificate, valid SEBI empanelled certificate.
- 6.4 The bidder must not have been proceed against / black listed by any PSU/Government Organization. A self declaration to this effect needs to be provided by the bidder.

7 PRE-BID MEETING:

A pre-bid meeting will be held at 11:00 AM on 05.06.2020 at National Textile Corporation Limited, Core IV SCOPE Complex, 7, Lodhi Road, New Delhi 110003. The interested parties are required to send their queries by e-mail before the pre-bid meeting to the Officer mentioned in clause 21 below.

8 SUBMISSION OF PROPOSAL (BID):

Proposals are to be submitted in two covers as per the following directions:

8.1 Envelope 1 (sealed): Technical Bid

- 8.1.1 The Bank Demand Draft of any Scheduled Commercial Bank of Rs.2,00,000/- (Rupees Two Lakhs only) payable at New Delhi in favour of 'National Textile Corporation Limited' towards Earnest Money Deposit (EMD). The EMD paid by the Bidder shall not carry any interest.

The Earnest Money Deposit/Security Deposit will be forfeited if:

- I. Tenderer withdraws his bid or backs out after acceptance.
- II. Tenderer does not accept assignment after he is selected as L1 and appointment letter is already issued.
- III. Tenderer violates any of the conditions prescribed in the Tender Document.
- IV. Tenderer changes any of the terms, during validity period. If the tenderer neglects or refuses to accept their rates quoted after submission of their tender or to comply with any of the conditions of the tender, the earnest money deposit already paid will be forfeited.

- 8.1.2 The Technical Bids without or short EMD will not be considered and same shall be treated as invalid Bid and no request would be entertained for the same. In such cases the financial bid of invalid tender will not be opened.
- 8.1.3 Covering letter must be on the Letter Head of Company / Firm for Bid submission by the Bidder.
- 8.1.4 Authority letter authorizing the person of the bidder to sign the proposal and other documents.
- 8.1.5 Technical Bid along-with all schedules, certificates & Annexure, duly filled, signed and stamped, by authorized signatory of Bidder as per Format at **Annexure – I**.
- 8.1.6 Submit all original/attested copies of the document in support of the eligibility & competency as stated in Clause – 6 of this RFP.
- 8.1.7 Confidentiality Undertaking in the Format at **Annexure – II**.
- 8.1.8 Certificate on unconditional bid in the format at **Annexure – III**. Please note that conditional bids shall be summarily rejected.
- 8.1.9 Affidavit regarding no conviction, no conflict as per **Annexure – IV**.
- 8.1.10 Certificate that in case of selection and appointment, a Performance Bank Guarantee amounting to 10% of the fee quoted would be given in the form of bank guarantee of any Scheduled Commercial Bank, valid till the completion of the assignment and acceptance of the report with a claim period of three months from the last date of validity.
- 8.1.11 **SIGNING OF INTEGRITY PACT :**
NTCL entered integrity pact MOU with Transparency International. The bidders have to submit duly signed enclosed proforma as per **Annexure – V**, Integrity Pact. Only those bidders, who commit themselves to integrity pact will be considered to be competent for participating in bidding process.
- The tendering is being monitored by Independent External Monitor Sh. Kalyan Chand, Retd. IRS (Contact No. 9968095320) and Sh. Ashok Kumar Tripathi, Retd. District Judge (Cont. No. 9029020548/9911566668). If any party is aggrieved, they are free to approach the said IEMs in terms of Integrity Pact.
- 8.2 **Envelope 2 (sealed): Financial Bid**
Bidder should furnish financial bid in the format as per **Annexure – VI**.

8.3 **REQUIREMENT FOR FINANCIAL BIDS:**

- a. The Bidder is required to quote a fixed lumpsum fee for aforesaid scope of work in Indian Rupees. **All applicable taxes should also be shown separately.**
- b. In case of any mismatch, the fee quoted in words will be taken as correct for all purposes, including evaluation of financial bids.
- c. The Fee quoted by the bidder shall remain FIXED till successful completion of assignment.
- d. The fee quoted by bidder shall be unconditional. All expenses shall be borne by the Consultant.
- e. The Bidders shall be liable to pay all taxes applicable as per law.

Both envelop should be separately sealed and super scribing the details of envelop (1: Technical Bid and 2: Financial Bid). Both the envelopes should be put under one sealed cover with super scribing "Tender for **Engagement of Firm of Chartered Accountants/ Cost Accountants/Companies/LLPs for Forensic Audit of NTC Ltd.**".

The proposal as above can be submitted latest by **05:00 PM on 20.06.2020 to Smt. Deepika Sharma, Deputy General Manager (Finance), National Textile Corporation Limited, Core IV, SCOPE Complex, 7, Lodhi Road, New Delhi - 110003** in hard copies in original, duly signed and stamped by authorized person. No proposal shall be entertained after appointed time and date. NTCL will not be responsible for any postal / courier delay.

The Technical bid shall be opened at **11:00 A.M. on 22.06.2020** in presence of bidder / representative if they choose to be present.

Financial Bid to be opened of only those parties who qualify in the technical evaluation in presence of bidder / authorized representative if they choose to be present. Please note that bids with any conditionality shall be summarily rejected.

Note:

- a) NTCL reserves the sole right to accept or reject any or all proposals thus received without assigning any reason thereof.
- b) NTCL will not be responsible for any delay on account of late submission of Bid. Late receipt of Bid will not be considered.
- c) Consortium bids will not be allowed.

- d) Sub-contracting of the assignment shall not be allowed without prior approval/consent of NTCL. The appointed Consultant shall be solely responsible for all the required final deliverables.
- e) Bidder should submit original/attested photocopies of all documents along with their bid on above mentioned NTCL official address & produce in original on request by NTCL at any stage of the Bid opening/process.
- f) Declaration of close relations, if any, employed in NTCL with particulars of names, relationship, designation, Department, where working.
- g) Bank Account Details of the Bidder to receive payment in the format.
- h) While submitting the bid, bidders must ensure that bids are in compliance to the regulations applicable under various statutes. Any fine, penalty or expenses due to breach arising thereon shall be borne by the bidder and NTCL shall not be responsible for any financial implication on this account.
- i) **EMD Refund :**
The Bidder should mention the beneficiary details for EMD refund. NTCL will not be liable for delays caused in EMD refund due to incorrect beneficiary details.

EMD Refund will happen only after award or cancellation of the Tender. The EMD of unsuccessful Bidders will be refunded through RTGS / NEFT mode only after finalization of the Bid for which the above refund details are required. Bidder should also provide scanned copy of cancelled cheque along with refund letter for refund of their EMD payment. In case of successful Bidder, amount of the EMD will be released on submission of Performance Bank Guarantee equal to 10% of the fees quoted by the Successful Bidder.

The EMD of the unsuccessful bidders will be refunded after award of contract to successful bidder within reasonable time. EMD will not attract any interest.

- j) **Any clarification by the Bidder can also be sought during the Pre-Bid Meeting and no queries will be entertained after pre-bid meeting.** The Bid shall be received on above mentioned NTCL Official address.

9 PROCEDURE FOR SELECTION OF CONSULTANT:

- 9.1 The Evaluation Committee would evaluate the Bidders on the criteria mentioned in clause 6 above based on their presentation and Proposals received and shortlist them for the purpose of opening of their Financial Bids. Only technically qualified bidder will be considered.

- 9.2 The financial bids of the technically qualified bidder shall only be opened. Final evaluation will be based only on L1 quoted rates of technically qualified bidders.
- 9.3 In case of multiple L1 quotes, bidder with higher experience will be selected.
- 9.4 If the L-1 price is not reasonable as compared to the estimated price, the L-1 bidder will be asked to justify the rates quoted.
- 9.5 The party selected for award of the assignment will be required to provide **Performance Bank Guarantee amounting to 10% of the fee quoted by way of bank guarantee** valid till the completion of the assignment and acceptance of the report with a claim period of three months from the last date of validity.

10 NO OBLIGATION CLAUSE:

During the course of the activities and any discussions, exchange of terms, clarifications etc., Bidder shall have no authority to obligate client in any manner, save and except as directed by the NTCL in writing. The Bidder shall not in any manner give any commitments on behalf of the NTCL.

11 EXTENSION OF VALIDITY OF BID:

In the exceptional circumstances, prior to expiry of the validity period, the NTCL may, on the request, extend the validity period for a specific period not exceeding one month.

12 TERMS OF PAYMENT:

The Success fee to the selected Bidder shall be paid in Indian Rupees as under:
10% of the success fee on commencement against 110% Bank Guarantee,
40% of the success fee on submission of draft report and
50% of the success fee on acceptance of final report.

13 MODE OF PAYMENT:

The appointed consultant will raise the tax invoices in triplicate to NTCL. Taxes should be indicated separately while raising the bills for payment of fee.

The appointed consultant will be liable to pay taxes/duties/cess etc. applicable as per prevailing law at their end and copy of the same will be submitted to NTCL within 15 days for proof.

14 AGREEMENT:

The selected Consultant shall be required to enter into a Contract Agreement with NTCL on Non-Judicial Stamp Paper.

15 RESERVATIONS:

The NTCL reserves the right :

- (i) To discharge all Bids received and to re-invite offers; and
- (ii) To modify marginally and not substantially the recitals; conditions and covenants of the Tender/Contract Agreement at any time before its execution.

16 FORCE MAJEURE:

NTCL shall not be liable for any failure or delay in execution of contract due to any cause beyond their control including fire, floods, strikes, go-slow, lock-out, closure, pestilence dissilience dispute with staff dislocation of normal working conditions, war riots epidemics political upheavals Government actions commotion, breakdown of machinery, shortage of labour, demands or otherwise or any other cause or conditions beyond the control of aforesaid causes or not and the existence of such cause or consequence.

17 CONFIDENTIALITY:

It is agreed that not at any time or times, the bidder / his representative (s) shall disclose or make public any technical, marketing financial and commercial information with which they become acquainted or of which they become aware during the course of this agreement.

18 LIQUIDATED DAMAGES:

The Consultant shall be liable to pay by way of liquidated damages @ 2.5% per week of the total contract price or part thereof subject to a maximum of 10% of the contract price without prejudice to the right of the NTCL to take any other action from the professional fee for any delay in submission of final report within 3 months as per the terms of Contract Agreement.

19 DISPUTE RESOLUTION:

If any dispute(s) arises between parties, these would be resolved in following ways:

19.2 AMICABLE SETTLEMENT:

Performance of the Contract is governed by the terms and conditions of the Contract, however at times dispute may arise about any interpretation of any term or condition of Contract including the scope of work, the clauses of payments etc. In such a situation either party of the contract may send a written notice of dispute to the other party. The party receiving the notice of dispute will consider the Notice and respond to it in writing within 30 days after receipt, If that party fails to respond within 30 days, or the dispute cannot be amicably settled within 60 days following the response of that party, then the second sub-clause of 'Dispute Resolution' shall become applicable.

19.3 ARBITRATION:

In case dispute arising between the NTCL and the Consultant, which cannot be settled amicably, the said dispute shall be referred to arbitration. In the event of any dispute, the same may be referred to sole arbitrator to be appointed by Indian Council of Arbitration, New Delhi (or any other institution that NTCL deems appropriate) and the rules thereof shall be applicable for conduct of the Arbitration which shall be subject to Arbitration and Conciliations Act, 1996, as amended. The Indian Arbitration and Conciliation Act, 1996 and any statutory modification or reenactment thereof, shall apply to these arbitration proceedings. Arbitration proceedings will be held at Delhi. The decision of the arbitrator shall be final and binding upon both the parties. All arbitration awards shall be in writing and shall state the reason for the award. The expenses of the arbitration as determined by the arbitrator shall be borne by the Consultant. However, the expenses incurred by each party in connection with the preparation, presentation shall be borne by the party itself.

19.4 GOVERNING LAW AND JURISDICTION:

This Contract shall be governed by Indian Law and shall be subject to the jurisdiction of Courts in Delhi only.

20 CLARIFICATION:

For any queries, clarifications or details, the bidders are advised to contact the undersigned :-

Deputy General Manager (Finance)
National Textile Corporation Limited,
Core IV, SCOPE Complex, 7, Lodhi Road, New Delhi 110003
Tel.011-24362622
Email:- deepika.sharma@ntcltd.org

21 **DISCLAIMER:**

Though adequate care has been taken in the preparation of this Bid Document, the Bidder should satisfy himself / themselves that the Document is complete in all respects. Intimation of discrepancy, observed if any, should be given to the office mentioned below immediately.

Deputy General Manager (Finance)
National Textile Corporation Limited,
Core IV, SCOPE Complex, 7, Lodhi Road, New Delhi 110003
Tel.011-24362622
Email:- deepika.sharma@ntcltd.org

If this office receives no intimation by the date, which is 7 days prior to pre-bid conference, it shall be presumed that the Bidder is satisfied that this Bid Document is complete in all respects.

- 21.1 Neither NTCL nor their employees make any representation or warranty as to the accuracy, reliability or completeness of the information in this Bid Document nor it is possible for NTCL to consider the investment objectives, financial situation and particular needs of each party who reads or uses this Bid Document. NTCL recognises the fact that certain prospective Bidders may have a better knowledge of the subject matter than others and thus encourages all prospective Bidders to conduct their own investigations and analysis and check the accuracy, reliability and completeness of the information in this Bid Document and obtain independent advice from appropriate sources.
- 21.2 Neither NTCL nor their employees will have any liability to any prospective Bidder or any other person under the law of contract, tort, the principles of restitution or unjust enrichment or otherwise for any loss, expense or damage which may arise from or be incurred or suffered in connection with anything contained in this Bid Document, any matter deemed to form part of this Bid Document and any other information supplied by or on behalf of NTCL or their employees or otherwise arising in any way from the selection process.
- 21.3 NTCL reserves the sole right to accept or reject any or all proposals thus received without assigning any reason thereof.
- 21.4 NTCL reserves to itself the right to change any or all of the provisions of this Bid Document. Such changes will be intimated to all parties procuring this Bid Document prior to pre-bid conference.

ANNEXURE – I

TECHNICAL PARTICULARS

1.	Name of Bidder	
2.	Postal address with Telephone / fax No./ official e-mail for communication	
3.	Name, address, telephone/ fax No./ e-mail with whom reference may be made	
4.	Please state details of non-refundable tender fee	
	DD No and date	
	Amount	
	Bankers Name	
5.	Confirm that you meet the eligibility criteria and how.(Attach supporting documents)	
6.	State whether details of assignments done are enclosed. Also please state whether relevant documents such as copy of Work Orders secured and performance certificates in support of experience enclosed.	
7.	State whether affidavit-cum-undertaking relating to no conviction and non-conflict enclosed.	
8.	Confirm that all technical and commercial terms and conditions are acceptable.	
9.	Any other information the bidder may desire to furnish:	

Certified that to the best of my knowledge and belief all the above information is correct and nothing has been concealed.

Seal with Signatures of the
authorized signatory of the bidder

Confidentiality Undertaking

It is certified that the documents / data / information pertaining to NTCL, which will be provided to [Name of the bidder] for consultancy or otherwise related to it shall be treated as strictly confidential and will not be disclosed or handed over by [Name of the bidder] to any outside agency / person without prior written permission of Company.

It is further certified that the consultancy reports/ report and other relevant documents, which are to be submitted by [Name of the bidder] to the Company will not be disclosed to any other agency / person without prior written permission of Company.

Seal with Signatures of the
authorized signatory of the bidder

FORMAT OF UNCONDITIONAL BID ON THE LETTERHEAD OF THE BIDDER

To,

Dear Sir,

This is to certify that the fee quoted by us for engagement as Consultant is in accordance with the terms and conditions laid down in the RFP displayed on the website of NTCL and is unconditional.

Seal with Signatures of the
authorized signatory of the bidder

AFFIDAVIT-CUM-UNDERTAKING

We, the undersigned (“**Bidder**”) are submitting our bid in respect of the Request for Proposal No [●], Dated [●] (“**Bid**”) issued by the National Textile Corporation Limited, (NTCL) in relation to consultancy and in this connection we hereby solemnly affirm, declare and undertake as follows:

- (1) Details of the Bidder as disclosed in **Appendix-A** enclosed herewith are true and correct as on date.
- (2) There has been no conviction by any court of law or indictment / adverse order by any statutory or regulatory authority for a Grave Offence against us or any of our Indian Sister Concern(s) or any of our promoters or directors or that of our Indian Sister Concern(s) during the last 10 (ten) years.
- (3) No enquiry / investigations for any Grave Offence is pending against us or any of our Indian Sister Concern(s) or any of our or our Indian Sister Concern’s directors, managers and / or other Senior Managerial Personnel by any regulatory authority and /or government agency.
- (4) The details of enquiry / investigations for non-Grave Offences pending against us /our Indian Sister Concern(s) / our or our Indian Sister Concern’s directors, managers and /or other Senior Managerial Personnel by any regulatory authority and /or government agency are disclosed in **Appendix- B** enclosed herewith.
- (5) There is no Conflict of Interest with respect to the Proposed Consultancy as on date.
- (6) During the tenure of our engagement for the Proposed Consultancy, we shall keep the Company informed, without delay, of any situations, circumstances, relationships, possible source or potential areas of Conflict of Interest in the format enclosed as **Appendix-C** herewith and we shall not take up work in relation to any such assignment without obtaining prior approval of the Company and granting of such approval shall be the sole discretion of Company and shall be binding on us.
- (7) We ourselves and / or for / with or in association with or on behalf of or through any other Entity, shall not take up any consulting assignment or render any services on a similar consultancy or any other consultancy which could have a direct Conflict of Interest, in any manner or capacity to a Competitor of the Company during the term of our engagement in respect of the Proposed Consultancy without prior written approval of the Company and granting of such approval shall be the sole discretion of Company and shall be binding on us.

- (8) We have put in place a robust mechanism to resolve any Conflict of Interest situations and circumstances that may arise or result while conducting our business or rendering of services and where-so-ever any Conflict of Interest or potential for Conflict of Interest may arise, we shall take reasonable steps to resolve the same forthwith in a fair and equitable manner. During the term of our engagement we shall ensure to and continue to exercise adequate due diligence for identifying and removing any areas, source, situations and circumstances of conflict and mitigating the effects of such conflicts to the satisfaction of the Company, in case any such Conflict of interest (or apparent conflict of interest) arises or results in relation to the Proposed Consultancy.
- (9) We have laid down an internal code of conduct for governing our internal procedures and operations and have prescribed the standards of appropriate conduct for our employees and officers for carrying out their duties and responsibilities with a view to appropriately ensuring proper maintenance of professional excellence and standards with integrity, confidentiality, objectivity and have made provisions for identification, avoidance and resolution of conflict of interests and for disclosure of shareholdings and interests, etc., in terms of applicable laws.
- (10) We understand that:
- (i) In cases where existing Conflict of Interest (or apparent conflict of interest) is disclosed by us, the Company would be entitled to initiate appropriate actions to eliminate or address or mitigate or neutralize the conflict through or by restricting or modifying the work to be performed by us in respect of the Proposed Consultancy. Company may also terminate our engagement for the Proposed Consultancy, in whole or in part, if such termination is absolutely necessary in view of the Company to avoid the appearance of a Conflict of Interest.
- (ii) The Company would be entitled to terminate our appointment if any of the affirmation / declaration / undertaking given by us is found to be false or misleading in any manner or not adhered or fulfilled or complied by us.
- (iii) If at any time after our appointment as an Consultant, either we or any of our Indian Sister Concern or the respective promoters / directors is convicted by a court of law in India or any indictment / adverse order is passed by a regulatory authority in India for a Grave Offence, we shall stand disqualified from continuing as Consultant to the Company and shall be bound to inform them without any delay and shall voluntarily withdraw from the Proposed Consultancy failing which the Company may terminate our appointment after giving an opportunity of being heard.

Definitions

Unless otherwise defined in this Affidavit, the following capitalized terms used herein shall have the meaning as set out below:

1. **Consultant** means the Bidder and includes bidder(s) who have been selected for the Proposed Consultancy by NTCL in terms of the Bid.
2. **Conflict of Interest:** Conflict of interest in relation to the Proposed Consultancy shall without limitation is deemed to exist or have arisen if:
 - (i) The Consultant whether itself and / or for / with or in association with / or on behalf of or through any other Entity is engaged in any activity or business which would or may be reasonably expected to directly or indirectly, materially adversely affect the interest of the Company or any such activity / association would or may impair his ability to render fair, impartial, technically sound and objective assistance or advice, or unbiased services or may result in it being given an unfair competitive advantage to any other person.
 - (ii) The Consultant whether itself and / or for / with or in association with / or on behalf of or through any other Entity is engaged in advising and / or have taken up engagement for advising / consulting any other Entity whether under a formal engagement or otherwise in relation to any Consultancy / matter ("**Third Party Consultancy**") which would or may be reasonably expected to directly or indirectly, materially adversely affect the interest of the Company.
 - (iii) The Consultant has any business or financial interests in any other Entity that would impair, or give the appearance of impairing, impartial decisions in relation to Proposed Consultancy, in offering any advice recommendations or in providing technical assistance or other services to the Company as part of Consultant's engagement obligations / duties.
 - (iv) Any other situation, possible source or potential areas of interests which may impair Consultant's ability to render fair, impartial, technically sound, and objective assistance or advice, or unbiased services on in conflict of their professional duties towards Company in respect of the Proposed Consultancy or result in it being given an unfair competitive advantage to any other person.
3. **Company** means National Textile Corporation Limited.
4. **Competitor** of the Company means an Entity in India that is engaged in the business substantially similar to the business of the Company. Business of an Entity shall be deemed to be substantially similar to the business of the Company if turnover or profit of such Entity from the business activity (ies) in which the Company in engaged, exceeds 33% of its total turnover or profit (in any of the last three years).
5. **Entity** shall mean and include an individual, proprietorship, HUF, an association of person/body of Individuals, a partnership firm, limited liability partnership, company or any other persons.

6. **Grave Offence** means offences of such nature that it materially affects the reputation, business or operations adversely or outrages the moral sense of the community and such other offences which may be considered by the Company as grave on case to case basis after considering the facts and relevant legal principles.
7. **Proposed Consultancy** means the Consultancy to be undertaken as described in bid no [●], issued by the Company.
8. **Senior Managerial Personnel's** means managing director, company secretary, chief executive officer, chief financial officer or persons having equivalent positions and all such other employees of the Entity who are members of its core management team excluding Board of Directors comprising all members of management one level below the executive directors and include the functional heads.
9. **Sister Concern** in relation to the Consultant, means any Entity in which the Consultant has a significant influence or which has "significant influence" over the Consultant and includes a group and a joint venture company. Significant influence" means holding of at least twenty percent or more of total voting rights or the power to participate in and influence the management, financial or operating policy decisions of that Entity, or of business decisions under an agreement or has twenty percent profit sharing in such Entity.

Appendix A, B and C hereto shall constitute and shall be deemed to form an integral part of this document.

APPENDIX – A: BIDDER DETAILS

Name of the Bidder	
Address: Registered Office: Corporate Office Tel: E-mail:	
Constitution	Company/Partnership/LLP/Others (If selected others, please provide the nature of constitution)
Details of registration with other professional statutory bodies	

APPENDIX – B: DETAILS OF PENDING ENQUIRY/INVESTIGATIONS

S. No	Name of the Sister Concern(s) / concerned person(s) against whom the enquiry / investigation has been initiated	Relationship with the bidder	Name of the investigating agency	Nature of pending enquiry / investigation and law under which the enquiry has been Initiated	Brief facts / interim orders / other relevant information in respect of the pending enquiry / investigation

APPENDIX – C: DETAILS OF PRESENT / POTENTIAL CONFLICTS / FACTS OR INTERESTS THAT MAY BE DEEMED AS POTENTIAL CONFLICT OF INTEREST

S. No	Name of the Entity, in which interests Conflicts exit / may arise	Relationship of the Bidder with the Entity	Nature / areas of conflict / potential conflict	Duration of subsistence of conflict, if any	Such other information as may be relevant and material in deciding whether there is a conflict / potential conflict of interest

Seal with Signatures of the authorized signatory of the bidder

INTEGRITY PACT

Between

**National Textile Corporation Limited (NTCL) hereinafter referred to as
“The Principal”**

and

.....hereinafter referred to as
“The Bidder/Contractor”

Preamble

The Principal intends to award, under laid down organizational procedures, contract/s forThe Principal values full compliance with all relevant laws of the land, rules, regulations, economic use of resources and of fairness/transparency in its relations with its Bidder(s) and/or Contractor(s).

In order to achieve these goals, the Principal will appoint an Independent External Monitor (IEM), who will monitor the tender process and the execution of the contract for compliance with the principles mentioned above.

Section 1- Commitments of the Principal

1. The Principal commits itself to take all measures necessary to prevent corruption and to observe the following principles:-
 - a. No employee of the Principal, personally or through family members, will in connection with the tender for or the execution of a contract, demand, take a promise for or accept, for self or third person, any material or immaterial benefit which the person is not legally entitled to.
 - b. The Principal will, during the tender process treat all Bidder(s) with equity and reason. The Principal will in particular, before and during the tender process, provide to all Bidder(s) the same information and will not provide to any Bidder(s) confidential/additional information through which the Bidder(s) could obtain an advantage in relation to the tender process or the contract execution.
 - c. The Principal will exclude from the process all known prejudiced persons.
2. If the Principal obtains information on the conduct of any of its employees which is a criminal offence under the IPC/PC Act, or if there be a substantive suspicion in this regard, the Principal will inform the Chief Vigilance Officer and in addition can initiate disciplinary actions.

Section 2- Commitments of the Bidder(s)/contractor(s)

1. The Bidder(s)/Contractor(s) commit themselves to take all measures necessary to prevent corruption. He commits himself to observe the following principles during his participation in the tender process and during the contract execution.
 - a. The Bidder(s)/Contractor(s) will not, directly or through any other person or firm, offer, promise or give to any of the Principal’s employees involved in the tender process or the execution of the contract or to any third person any material or other benefit which he/she is not legally entitled to, in order to obtain in exchange any advantage of any kind whatsoever during the tender process or during the execution of the contract.
 - b. The Bidder(s)/ Contractor(s) will not enter with other Bidders into any undisclosed agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, subsidiary contracts,

submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelisation in the bidding process.

- c. The Bidder(s)/Contractor(s) will not commit any offence under the relevant IPC/PC Act; further the Bidder(s)/ contractor(s) will not use improperly, for purposes of competition or personal gain, or pass on to others, any information or document provided by the Principal as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically.
- d. The Bidder(s) /Contractors(s) of foreign origin shall disclose the name and address of the Agents/representatives in India, if any. Similarly the Bidder(s)/Contractors(s) of Indian Nationality shall furnish the name and address of the foreign principals, if any. Further details as mentioned in the “Guidelines on Indian Agents of Foreign Suppliers” shall be disclosed by the Bidder(s)/Contractor(s). Further, as mentioned in the Guidelines all the payments made to the Indian agent/representative have to be in Indian Rupees only. Copy of the “Guidelines on Indian Agents of Foreign Suppliers” is placed at **Annexure-A**.
- e. The Bidder(s)/Contractor(s) will, when presenting his bid, disclose any and all payments he has made, is committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract.

2. The Bidder(s)/Contractor(s) will not instigate third persons to commit offences outlined above or be an accessory to such offences.

Section 3- Disqualification from tender process and exclusion from future contracts.

If the Bidder(s)/Contractor(s), before award or during the execution has committed a transgression through a violation of Section 2, above or in any other form such as to put his reliability or credibility in question, the Principal is entitled to disqualify the Bidder(s)/Contractor(s) from the tender process or take action as per the procedure mentioned in the “Guidelines on Banning of Business Dealings”. Copy of the “Guidelines on Banning of Business Dealings” is annexed and marked as **Annexure-B**.

Section 4- Compensation for Damages

1. If the Principal has disqualified the Bidder(s) from the tender process prior to the award according to Section 3, the Principal is entitled to demand and recover the damages equivalent to Earnest Money Deposit / Bid Security.
2. If the Principal has terminated the contract according to Section 3, or if the Principal is entitled to terminate the contract according to section 3, the Principal shall be entitled to demand and recover from the Contractor liquidated damages of the Contract value or the amount equivalent to Performance Bank Guarantee.

Section 5 – Previous transgression

1. The Bidder declares that no previous transgressions occurred in the last three years with any other Company in any country conforming to the anti- corruption approach or with any other Public Sector Enterprise in India that could justify his exclusion from the tender process.
2. If the Bidder makes incorrect statement on this subject, he can be disqualified from the tender process or action can be taken as per the procedure mentioned in “Guidelines on Banning of Business Dealings.”

Section 6 – Equal treatment of all Bidders / Contractors / Subcontractors

1. The Bidder(s)/ Contractor(s) undertake(s) to demand from his subcontractors a commitment in conformity with this Integrity Pact.
2. The Principal will enter into agreements with identical conditions as this one with all Bidders and Contractors.
3. The Principal will disqualify from the tender process all bidders who do not sign this Pact or violate its provisions.

Section 7 – Criminal charges against violating Bidder(s) /Contractor(s) / Subcontractor(s)

If the Principal obtains knowledge of conduct of a Bidder, Contractor or Subcontractor, or of an employee or a representative or an associate of a Bidder, Contractor or Subcontractor which constitutes corruption, or if the Principal has substantive suspicion in this regard, the Principal will inform the same to the Chief Vigilance Officer.

Section 8 – Independent External Monitor / Monitors

1. The Principal appoints competent and credible Independent External Monitor for this Pact. The task of the Monitor is to review independently and objectively, whether and to what extent the parties comply with the obligations under this agreement.
2. The Monitor is not subject to instructions by the representatives of the parties and performs his functions neutrally and independently. It will be obligatory for him to treat the information and documents of the Bidder(s)/Contractors as confidential. He reports to the Chairman, NTCL.
3. The Bidder(s)/Contractor(s) accepts that the Monitor has the right to access without restriction to all Project documentation of the Principal including that provided by the Contractor. The Contractor will also grant the Monitor, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his project documentation. The same is applicable to Subcontractors. The Monitor is under contractual obligation to treat the information and documents of the Bidder(s)/ Contractor(s)/Subcontractor(s) with confidentiality.
4. The Principal will provide to the Monitor sufficient information about all meetings among the parties related to the Project provided such meetings could have an impact on the contractual relations between the Principal and the Contractor. The parties offer to the Monitor the option to participate in such meetings.
5. As soon as the Monitor notices, or believes to notice, a violation of this agreement, he will so inform the Management of the Principal and request the Management to discontinue or take corrective action, or to take other relevant action. The monitor can in this regard submit nonbinding recommendations. Beyond this, the Monitor has no right to demand from the parties that they act in a specific manner, refrain from action or tolerate action.
6. The Monitor will submit a written report to the Chairman, NTCL within 8 to 10 weeks from the date of reference or intimation to him by the *Principal* and, should the occasion arise, submit proposals for correcting problematic situations.
7. If the Monitor has reported to the Chairman, NTCL, a substantiated suspicion of an offence under relevant IPC/PC Act, and the Chairman NTCL has not, within the reasonable time taken visible action to proceed against such offence or reported it

to the Chief Vigilance Officer, the Monitor may also transmit this information directly to the Central Vigilance Commissioner.

8. The word '**Monitor**' would include both singular and plural. Monitor would be entitled to receive such compensation as may be decided time to time by the CMD/Competent Authority.

Section 9 – Pact Duration

This Pact begins when both parties have legally signed it. It expires for the Contractor 12 months after the last payment under the contract or contract period (extended if applicable) whichever is later and for all other Bidders 6 months after the contract has been awarded.

If any claim is made / lodged during this time, the same shall be binding and continue to be valid despite the lapse of this pact as specified above, unless it is discharged/determined by Chairman of NTCL.

Section 10 – Other provisions

1. This agreement is subject to Indian Law. Place of performance and jurisdiction is the Registered Office of the Principal, i.e. New Delhi.
2. That a person signing IP shall not approach the courts while representing the matters to IEMS and he/she will await their decision in the matter.
3. Changes and supplements as well as termination notices need to be made in writing. Side agreements have not been made.
4. If the Contractor is a partnership or a consortium, this agreement must be signed by all partners or consortium members.
5. Should one or several provisions of this agreement turn out to be invalid, the remainder of this agreement remains valid. In this case, the parties will strive to come to an agreement to their original intentions.
6. In the event of any contradiction between the Integrity Pact and its Annexure, the Clause in the Integrity Pact will prevail.”

(For & On behalf of the Principal)
(Office Seal)

(For & On behalf of Bidder/Contractor)
(Office Seal)

Place.....
Date.....

Witness 1 :
(Name & Address)

Witness 2 :
(Name & Address)

GUIDELINES FOR INDIAN AGENTS OF FOREIGN SUPPLIERS

- 1.0 There shall be compulsory registration of agents for all global (Open) Tender and limited Tender. An agent who is not registered with NTCL shall apply for registration in the prescribed Application-Form.
 - 1.1 Registered agents will file an authenticated photostat copy duly attested by a Notary Public/Original certificate of the principal confirming the agency agreements and giving the status being enjoyed by the agent and the Commission/remuneration/salary/retainer ship being paid by the principal to the agent before the placement of order by NTCL.
 - 1.2 Wherever Indian representatives have communicated on behalf of their principals and the foreign parties have stated that they are not paying any commission to the Indian agents, and the Indian representative is working on the basis of salary or as retainer, a written declaration to this effect should be submitted by the party (i.e Principal) before finalizing the order.
- 2.0 DISCLOSURE OF PARTICULARS OF AGENTS/REPRESENTATIVES IN INDIA. IF ANY.**
- 2.1 Tenderers of Foreign nationality shall furnish the following details in their offer.
 - 2.1.1 The name and address of the agents/representatives in India, if any and the extent of authorization and authority given to commit the Principals. In case the agents/representatives be a foreign Company, it shall be confirmed whether it is real substantial Company and details of the same shall be furnished.
 - 2.1.2 The amount of commission /remuneration included in the quoted price(s) for such agents /representatives in India.
 - 2.1.3 Confirmation of the Tenderer that the commission / remuneration if any, payable to his agents/representatives in India, may be paid by NTCL in Indian Rupees Only.
 - 2.2 Tenderers of Indian Nationality shall furnish the following details in their Offers.
 - 2.2.1 The name and address of the foreign principals indicating their nationality as well as their status, i.e. whether manufacturer or agents of manufacturer holding the Letter of Authority of the Principal specifically authorizing the agent to make an offer in India in response to Tender either directly or through the agents/representatives.
 - 2.2.2 The amount of commission/remuneration included in the price(s) quoted by the Tenderer for himself.
 - 2.2.3 Confirmation of the foreign principals of the Tenderer that the commission/remuneration , if any, reserved for the Tenderer in the quoted price(s) , may be paid by NTCL in India in equivalent Indian Rupees on satisfactory completing of the Project or supplies of Stores and Spares in case of operation items.
 - 2.3 In either case, in the event of contract materializing, the terms of payment will provide for payment of the commission/remuneration, if any payable to the agents /representatives in India in Indian Rupees on expiry of 90 Days after the discharge of the obligations under the contract.
 - 2.4 Failure to furnish correct and detailed information as called for in paragraph-2.0 above will render the concerned tender liable to rejection or in the event of a contract materializing, the same liable to termination by NTCL. Beside this there would be a penalty of banning business dealing with NTCL or damage or payment of a named sum.

GUIDELINES ON BANNING BUSINESS DEALINGS

1. Introduction

- 1.1. National Textile Corporation Limited (NTCL) deals with Agencies viz parties/ contractors/ suppliers/ bidders, who are expected to adopt ethics of highest standards and a very high degree of integrity, commitments and sincerity towards the work undertaken. It is not in the interest of NTCL to deal with Agencies who commit deception, fraud or other misconduct in the tendering process.
- 1.2. Since banning of business dealings involves civil consequences for an Agency concerned, it is incumbent that adequate opportunity of hearing is provided and the explanation, if tendered, is considered before passing any order in this regard keeping in view the facts and circumstances of the case.

2. Scope

- 2.1 The Information for Bidders/ Instruction to Bidders and even the General Conditions of Contract (GCC) of NTCL generally provide that NTCL shall have the rights to remove from list of approved suppliers / contractors or to ban business dealings if any Agency has been found to have committed misconduct or fraud or anything unethical not expected from a reputed contractor.
- 2.2 The procedure of (i) Removal of Agency from the List of approved suppliers / contractors/bidders; (ii) Suspension and (iii) Banning of Business Dealing with Agencies, has been laid down in these guidelines.
- 2.3 These guidelines shall apply to all the Units whether operational or closed/showrooms/RMDs/Regional Offices/Sub Offices/Liaison Office of NTCL.
- 2.4 It is clarified that these guidelines do not deal with the poor performance of the contractors/ Agencies.
- 2.5 The banning shall be with prospective effect, i.e. future business dealings.

3. Definitions

In these Guidelines, unless the context otherwise requires:

- I. "Party / Contractor / Supplier / Bidders" shall mean and include a public limited company or a private limited company, a joint Venture, Consortium, HUF, a firm whether registered or not, an individual, cooperative society or an association or a group of persons engaged in any commerce, trade, industry, etc. "Party / Contractor/ Supplier / Bidder" in the context of these guidelines is indicated as 'Agency'.
- II. "Unit" shall mean the Units whether operational or closed/ showrooms/ RMDs/ Regional Offices/Sub Offices/Liaison Office of NTCL.
- III. "Competent Authority" and 'Appellate Authority' shall mean the following:
 - a) For NTCL Wide Banning
The concerned director shall be the 'Competent Authority' for the purpose of these guidelines. CMD shall be the 'Appellate Authority' in respect of such cases.
 - b) For Units whether operational or closed/showrooms/RMDs/Regional Offices/Sub Offices/Liaison Office of NTCL.

Head of the Unit/Head of Finance shall be the 'Competent Authority' for the purpose of these guidelines, in respect of concerned unit/Sub-office/Regional Office. The concerned Executive Director/ Regional Head of the Unit/ Region shall be the 'Appellate Authority' in all such cases.

- IV. "Investigating Committee" shall mean any Officer/Committee appointed by Competent Authority to conduct investigation.
- V. "Approved Agencies viz Parties / Contractors / Suppliers/Bidders" shall mean and include list of Parties/ Contractors / Suppliers / Bidders etc, who have been pre-qualified by NTCL for any tender/contract/bid.

4. Initiation of Banning / Suspension

Action for banning /suspension business dealings with any Agency shall be initiated by the department responsible for invitation of bids after noticing their regularities or misconduct on the part of Agency concerned. Besides the concerned department, Vigilance Department may also be competent to initiate such action.

5. Suspension of Business Dealings.

- 5.1 If the conduct of any Agency dealing with NTCL is under investigation, the Competent Authority may consider whether the allegations (under investigation) are of a serious nature and whether pending investigation, it would be advisable to continue business dealing with the Agency. If the Competent Authority, after consideration of the matter including the recommendation of the Investigating Committee, if any, decides that it would not be in the interest to continue business dealings pending investigation, it may suspend business dealings with the Agency. The order of suspension would operate for a period not more than six months and may be communicated to the Agency as also to the Investigating Committee. The Investigating Committee may ensure that their investigation is completed and whole process of final order is over within such period. However, if investigations are not completed in six months' time, the Competent Authority may extend the period of suspension by another three months, during which period the investigations must be completed.
- 5.2 The order of suspension shall be communicated to all Departmental Heads of NTCL and Heads of the Units, which would also be displayed on Intranet, if available. During the period of suspension, no business dealing may be held with the Agency.
- 5.3 As far as possible, the existing contract(s) with the Agency may continue unless the Competent Authority, having regard to the circumstances of the case, decides otherwise.
- 5.4 If the Agency concerned asks for detailed reasons of suspension, the Agency may be informed that its conduct is under investigation. It is not necessary to enter into correspondence or argument with the Agency at this stage.
- 5.5 It is not necessary to give any show-cause notice or personal hearing to the Agency before issuing the order of suspension.

6. Ground on which Banning of Business Dealings can be initiated

- 6.1 If the security consideration, including questions of loyalty of the Agency to NTCL so warrants;
- 6.2 If the Director of a company (other than Govt. company) /owner of the Agency, proprietor or partner of the firm, is convicted by a Court of Law for offences involving moral turpitude in relation to its business dealings with the Government or any other public sector enterprises, during the last five years;

- 6.3 If business dealings with the Agency have been banned by the Ministry of Textiles, Government of India.
- 6.5 If the Agency has resorted to corrupt, fraudulent practices including misrepresentation of facts;
- 6.5 If the Agency uses intimidation / threatening or brings undue outside pressure on NTCL or its official for acceptance / performances of the job under the contract;
- 6.6 If the Agency misuses the premises or facilities of the NTCL, forcefully occupies or damages the NTCL's properties including land, water resources, forests / trees or tampers with documents/records etc. (Note: The examples given above are only illustrative and not exhaustive. The Competent Authority may decide to ban business dealing for any good and sufficient reason).

7. Banning of Business Dealings

- 7.1 A decision to ban business dealings with any Agency shall normally apply throughout NTCL. However, the Competent Authority of the Unit can impose such ban unit-wise only if in the particular case banning of business dealings by respective Unit will serve the purpose and achieve its objective and banning throughout the Company is not required in view of the local conditions and impact of the misconduct / default to beyond the Unit. Any ban imposed by Corporate Office shall be applicable across all Units of NTCL.
- 7.2 There will be an Investigating Committee in each Unit to be appointed by Head of the Unit for processing the cases of "Banning of Business Dealings". However, for procurement of items /award of contracts at Corporate Office, the committee shall consist of officers not below the rank of Senior Manager from Indenting Division & Finance. Member from department responsible for invitation of bids shall be the convener of the committee. The functions of the committee shall, inter-alia include:
 - i) To study the report of the department responsible for invitation of bids and decide if a prima-facie case for banning exists, if not, send back the case to the Competent Authority.
 - ii) To recommend for issue of show-cause notice to the Agency by the concerned department as per clause 9.1.
 - iii) To examine the reply to show-cause notice and call the Agency for personal hearing, if required.
 - iv) To submit final recommendations to the Competent Authority for banning or otherwise.

8. Removal from List of Approved Agencies - Suppliers/ Contractors etc.

- 8.1 If the Competent Authority decides that the charge against the Agency is of a minor nature, it may issue a show-cause notice as to why the name of the Agency should not be removed from the list of approved Agencies - Suppliers / Contractors/Bidders etc.
- 8.2 The effect of such an order would be that the Agency would not be qualified for competing in Open Tender Enquiries or Limited Tender Enquiries till the period mentioned in the order.

8.3 Past performance of the Agency may be taken into account while processing for approval of the Competent Authority for awarding the contract.

9. Show-cause Notice

9.1 In case where the Competent Authority decides that action against an Agency is called for, a show-cause notice has to be issued to the Agency, Statement containing the imputation of misconduct or misbehavior may be appended to the show-cause notice and the Agency should be asked to submit within 15 days a written statement in its defense.

9.2 If the Agency requests for inspection of any relevant document in possession of NTCL, necessary facility for inspection of documents may be provided.

9.3 The Competent Authority may consider and pass an appropriate speaking order:

- a) For exonerating the Agency if the charges are not established;
- b) For removing the Agency from the list of approved Suppliers/Contractors, etc.
- c) For banning the business dealing with the Agency.

9.4 If it decides to ban business dealings, the period for which the ban would be operative may be mentioned.

9.5 Unit-wise banning may be done for a period upto six months only and for more than six months banning, it should be pervasive i.e. for all the units. In case of pervasive banning for more than six months i.e. across all the units, it should be done after approval of the Director (Finance) of the corporate office.

10. Appeal against the Decision of the Competent Authority

10.1 The Agency may file an appeal against the order of the Competent Authority banning business dealing etc. The appeal shall be filed to Appellate Authority. Such an appeal shall be preferred within one month from the date of receipt of the order banning business dealing, etc.

10.2 Appellate Authority would consider the appeal and pass appropriate order within 120 days which shall be communicated to the Agency as well as the Competent Authority.

11. Circulation of the names of Agencies with whom Business Dealings have been banned

The concerned unit shall forward the name and details of the Agency (ies) banned to IT&C Division of Corporate Office for displaying the same on the NTCL website.

Corrupt, Fraudulent, Collusive or Coercive Practices Policy

1.0 Corrupt, Fraudulent, Collusive or Coercive Practices

It is expected from the Bidders/ suppliers/ contractors that they will observe the highest standard of ethics during the procurement and execution of such contracts. In pursuance of this policy:

- (a) For the purposes of this provision, the terms set forth below shall mean as under:
 - (i) "Corrupt practice" means the offering, giving, receiving or soliciting of anything of value and /or personal satisfaction to influence the action of a public official in the procurement process or in contract execution; and
 - (ii) "Fraudulent practice" means a misrepresentation of facts in order to influence a procurement process or the execution of a contract to the detriment of the Purchaser, and includes collusive practice among Bidders (prior to or after bid submission) designed to establish bid prices at artificial non-competitive levels and to deprive the Purchaser of the

- benefits of free and open competition;
- (iii) “Collusive practice” means a scheme or arrangement between two or more bidders, with or without the knowledge of the Purchaser, designed to establish bid prices at artificial, noncompetitive levels; and
 - (iv) “Coercive practice” means harming or threatening to harm, directly or indirectly, person or their property to influence or affect the execution of a contract;
 - (v) “Integrity Pact” means an agreement called Integrity Pact between the contractor and the Employer shall be signed committing the persons/ officials of both the parties, not to exercise any corrupt influence on any aspect of the Tender/Contract. The Independent External Monitor(s)(IEM) appointed by the Employer shall oversee the compliance of obligation under the Integrity Pact.
 - vi) “Independent External Monitor(s) (IEMs)” means the External Monitor(s) appointed by the Employer to oversee the implementation of Integrity Pact
 - (b) A Bid may be rejected by the Purchaser if it is determined at any stage that the respective Bidder has engaged in corrupt, fraudulent, collusive and coercive practices or defaulted commitments under integrity pact as mentioned above in competing for the contract in question.
 - (c) The Purchaser may declare a firm ineligible, either indefinitely or for a stated period of time, if it at any time determines that the firm has engaged in corrupt or fraudulent practices, Collusive and Coercive practices or defaulted commitments under integrity pact in competing for, or in executing, a contract.
 - (d) Banning of Business Dealings: It is not in the interest of NTCL to deal with Agencies who commit deception, fraud or other misconduct in the tendering process. The grounds on which Banning of Business Dealings can be initiated are as follows:-
 - i) If the security consideration, including questions of loyalty of the Agency to NTCL so warrants;
 - ii) If the director/ owner of the Agency, proprietor or partner of the firm, is convicted by a court of law for offences involving moral turpitude in relation to its business dealings with the Government or any other public sector enterprises, during last five years;
 - iii) If business dealings with the Agency have been banned by the Ministry of Textiles, Government of India or by any other department of GOI.
 - iv) If the Agency has resorted to corrupt, fraudulent practices including misrepresentation of facts;
 - v) If the Agency uses intimidation / threatening or brings undue outside pressure on NTCL or its official for acceptance / performances of the job under the contract;
 - vi) If the Agency misuses the premises or facilities of the NTCL, forcefully occupies or damages the NTCL’s properties including land, water resources, forests / trees or tampers with documents/records etc. (Note: The examples given above are only illustrative and not exhaustive. The Competent Authority may decide to ban business dealing for any good and sufficient reason).
 - vii) In the transaction where NTCL is a seller, the term Purchaser shall be deemed to have been replaced by ‘Seller’ and vice versa.

The procedure for banning of Business Dealings shall be governed as per NTCL’s “Guidelines on Banning Business Dealings” as enclosed separately. **(Annexure B)**.

ANNEXURE – VI

FORMAT OF FINANCIAL BID ON THE LETTERHEAD OF THE BIDDER

SI. No.	Description	in INR #	
		Figures	Words
1*	Fixed Lump-Sum Fee in Indian Rupees (Excluding all applicable taxes)		
2	All applicable taxes: (i) (ii) (iii)		
TOTAL			

Note:

* Financial bid shall be evaluated based on SI. No. 1 only.

In case of mismatch in figures and words quoted, the words will be taken as correct for all purposes.

Seal with Signatures of the
authorized signatory of the bidder