



Ref: NCL/SGR/IAD/Misc Audit/17-18/468 Dated: 16.1.2018

Sub: - Notice Inviting Expression of Interest (EOI) for Empanelment of Chartered Accountant/Cost Accountant Partnership Firms/LLP/Proprietorship Firms for conducting Miscellaneous Audit in Northern Coalfields Limited for 2017-18.

Chief of Internal Audit, NCL invites Expression of Interest (EOI) from Partnership Firm/LLP/Proprietorship Firms for conducting Miscellaneous Audit in NCL for the FY2017-18. (A) Those Audit firm who have conducted the assignment of Audit [Internal Audit/Cost Audit/Statutory Audit/Miscellaneous Audit] for last three consecutive years (FY 2014-15 to FY16-17) in NCL, will not be given appointment for next two years due to cooling off of these firms. Also those audit firms who are in cooling off in NCL for the FY2016-17 and FY2017-18 will not be considered for empanelment. (B) Audit firms appointed for Internal Audit, Statutory Audit and Cost Audit at CIL and its Subsidiaries for the FY2017-18 or part of FY2017-18 will not be considered for empanelment. (C) Expression of Interest (EOI) of Audit firms, who had previously refused to conduct Audit of NCL during FY2014-15 to FY 2017-18, will not be considered. Details/information along with the documentary evidence to be submitted with EOI are given below:-

- 1) Year of Establishment of the Partnership Firm/LLP/Proprietorship Firm since the date of Registration.
- 2) (A)(i) Details of full time partners in the firm as on 01.01.2016 and continuing thereafter/ full time qualified persons, having more than 5 years' experience in practice in the Audit Firm as on 01.01.2016 and continuing thereafter. (A)(ii) Details of full time partners having DISA/CISA who is in the firm as on 01.01.2016 and continuing thereafter/ full time qualified persons having more than 5 years experience in practice in the firm having DISA/CISA who is in the firm as on 01.01.2016 and continuing thereafter.
- 3) Details of full time qualified Assistants who is an ACA/ACMA/FCA/FCMA and is having experience of 5 years or less and is working with the firm as on 01.01.2016 and continuing thereafter.
- 4) Details of full time semi-qualified Assistants (Inter Cost/Inter Chartered IPCC-both group) and is working full time with the firm as on 01.01.2016 and continuing thereafter.
- 5) (i) Year wise experience of the firm in conducting Statutory/Internal Audit/Perpetual Inventory audit/Coal Sale or Road Sale audit/physical verification of stores & spares and/or fixed assets during last Ten years:- (a) PSU/Govt. Companies. (b) Other large Company having turnover of atleast Rs100 crores during the last ten

- years. (ii) Year wise experience of the firm in conducting Statutory/Internal Audit in Scheduled Bank during last Ten years. 6) If the audit firm is having Regd/Branch office as on 01.01.2016 and continuing thereafter within the state, in which company headquarter is situated or having its mining operation, a declaration, in this regard, is to be given. 7) Attach photocopies of all relevant certificates/ documents such as (i) qualification certificates, membership certificates, professional qualification viz CISA/DISA, COP in respect of full time partner & full time qualified persons. (ii) photocopies of membership certificate, COP in respect of full time qualified assistants. (iii) photocopies of relevant certificate or marks sheet in respect of semi-qualified assistants. 8) Attach copies of appointment letters for the last ten years with name of the audit firm, letter no and date, signature of appointing authority and the job allotted for 5(i)(a) & 5(ii) above and photocopies of appointment letters with name of the audit firm, letter no and date, signature of appointing authority and the job allotted with statement of accounts for the last ten years for 5(i)(b) above. 9) Latest Firm Registration/Constitution certificate not before the date of publication of notice inviting expression of interest (EOI) issued by the Institute of Chartered Accountants of India (ICAI)/the Institute of Cost Accountants of India (ICAI) must be enclosed, otherwise the EOI of the Partnership firm/LLP/Proprietorship firm shall not be considered. 10) A certificate confirming that the details/information furnished with the Expression of Interest (EOI) are true and correct. 11) All the documents including the application format should be signed & stamped by the managing partner of the firm along with the application/ expression of interest. 12) All the pages of the expression of interest (EOI) should be numbered and binded together like a booklet. The willing Partnership Audit Firms/LLP/Proprietorship firms may send their Expression of Interest (EOI) along with above documentary evidence in the sealed envelope super scribed "Expression of Interest (EOI) for Empanelment for Miscellaneous Audit in NCL for FY2017-18" through Registered / Speed post postal service or courier service only, so as to reach Chief of Internal Audit, Northern Coalfields Limited, P.O.-Singrauli Colliery, Dist.-Singrauli (MP), Pin: 486 889 latest by 13th February' 2018 at 4pm. Any Postal/Courier delay will not be the responsibility of NCL. Further details are available in NCL website: [http:// www.nclcll.in](http://www.nclcll.in) or <http://www.tenders.gov.in> & <http://www.eprocure.gov.in>. Further all corrigenda, addenda, time/due date extension etc if any to expression of interest (EOI) will be hosted/notified on the above websites and will not be published in the newspapers. The firms are requested to visit regularly above websites to keep themselves updated.