


<b>बैंक ऑफ महाराष्ट्र</b> प्रधान कार्यालय लोकमंगल, 1501, शिवाजीनगर, पुणे-411005 ऋण निगरानी विभाग		<b>BANK OF MAHARASHTRA</b> Head Office LOKMANGAL, 1501.SHIVAJINAGAR, PUNE-411005 Credit Monitoring Dept.
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## **RFP FOR EMPANELMENT OF FORENSIC AUDITOR**

Bank of Maharashtra Head Office, Pune invites applications in the prescribed format from the Firms/ Companies/organisation who are conducting forensic audit and willing to have their firm/Company/organisation empanelled for carrying out Forensic Audit of borrowal accounts of the Bank.

The format along with the details regarding eligibility criteria and other terms and conditions can be obtained from the Bank's website [www.bankofmaharashtra.in](http://www.bankofmaharashtra.in) under "Procurement News".

The duly filled in application form in sealed cover superscribed as 'Application for empanelment for Forensic Auditor' should reach this office on or before 8<sup>th</sup> Sept ,2021 by 2:00 p.m. at the above address.

**General Manager  
Credit Monitoring & IRM**

### **CRITERIA AND TERMS & CONDITIONS FOR EMPANELMENT OF FORENSIC**

#### **AUDITOR Eligibility Criteria:**

1. Having at least 2 qualified Chartered Accountants/Cost Accountants as Members & must be registered with Institute of Chartered Accountants of India(ICAI)/The Institute of Cost Accountants of India as Partner/Director with experience of minimum 5 years , preferably having exposure in banking sector.
2. Firms/Corporates which display exceptional professional competence in Forensic Audit.
3. Having proven track record of conducting a number of Forensic and Investigative audits and exposed/established frauds and malpractices and having in depth knowledge and extensive experience in accounting and should be aware of the various practices existing in cross section of industries.

4. Having unblemished track record and should not have been blacklisted by any financial Institution / other organizations / any government department. The firm / company should furnish self-attested affidavit on stamp paper in this regard.
5. Should have office at any major centers of the country.
6. The name of the Firm/Company or its promoter/partner etc. should not be in the defaulters/barred/caution list published/displayed at websites of public bodies such as by RBI/IBA/ECGC/SEBI/CICs etc.
- 7. The firm / Company / Organization should be on the Panel of IBA and other Public Sector Banks for Forensic Audit.**

**Other Terms & Conditions:**

i) Application in the format placed below should be submitted to G.M. Credit Monitoring and IRM, Bank of Maharashtra, Head Office, Lokmangal, Shivajinagar, Pune-411005, Maharashtra, as per the 'Procurement News' on the Bank's website.

ii) Usual KYC norms like Identity and address proof of Firm/Company are mandatory.

iii) PAN Number and Registration Number with Institute of Chartered Accountant/Institute of Cost Accountant of India is also mandatory for applicant and its Chartered Accountant/Cost Accountant Partners/Directors.

iv) In the event of gross negligence/malpractices noticed by the Bank in Forensic Auditor's conduct/scope of work apart from depaneling the firm/company, the Bank reserves the right to include their names in the cautionary list for circulation to all the Banks through IBA.

v) Forensic Auditor will be evaluated on the basis of its performance and usefulness to the Bank during review of empanelment by the bank at annual interval or as convenient to the bank.

vi) Bank reserves the right to reject any or all applications for empanelment without assigning any reasons therefor.

vii) On empanelment the firm/company will have to give an undertaking with Non Disclosure Agreement clause.

viii) Fee structure would be determined by the bank and the concerned auditor depending upon the complexity of work involved.



## **Application Format for Empanelment of Forensic Auditor**

1. Name of Firm/Company/ Organization
2. Constitution / Date of Establishment
3. Address:  
Regd. Office (with Phone/Email)-  
Head Office (with Phone/Email) –  
Branches (with Phone/Email) –  
PAN No of firm/company  
GST No.of firm/company
4. Firm/ Company's paid-up share Capital:
5. Net worth of the Firm/Company.
6. Details of Key Person/Proprietor/Partners of the Firm/Directors of the Company  
Name  
Address  
Pan No.  
DIN No.  
Passport  
Details
7. Details of Technically/Professionally Qualified Staff :
8. Registration No. with The Institute of Chartered Accountants of India/ The Institute of Cost Accountants of India
9. Other Professional Registration No.s and Names of the Registration Authority.
10. Whether empaneled with IBA for Forensic Audit. If yes, Date of empanelment and validity period. Copy of empanelment letter be enclosed.
11. Whether empaneled with other Public Sector Banks for Forensic Audit . If yes, Date of empanelment and validity period. Copy of empanelment letter be enclosed
12. Experience in Forensic Audit with documentary proof.
13. Experience in dealing with/audit of Banking sector
14. Details of Forensic Audit assignment completed during last 3 years:
15. Area of Specialization of the firm / company:

I/We confirm that the information furnished above is true and correct and we have not been de-paneled / Black listed by any organization in the past and we fulfill all the conditions of eligibility of empanelment with BOM as Forensic Auditors.

Place

Date

Authorized signatory