

## Associate Application Form for ICAI Members

All questions to be answered and printed in ink and in block capitals.

**1. Personal details**

Title \_\_\_\_\_

First name(s) \_\_\_\_\_

Surname \_\_\_\_\_

Private address \_\_\_\_\_

Postcode \_\_\_\_\_

Tel. \_\_\_\_\_

Fax. \_\_\_\_\_

Date of birth \_\_\_\_\_

Nationality \_\_\_\_\_

Former name(s) \_\_\_\_\_

If any, date of change \_\_\_\_\_

**2. Work details**

Firm name \_\_\_\_\_

Job title \_\_\_\_\_

Department \_\_\_\_\_

Firm address \_\_\_\_\_

Postcode \_\_\_\_\_

Direct tel. \_\_\_\_\_

Direct fax \_\_\_\_\_

Email \_\_\_\_\_

**3. Professional Qualifications \*** *(Please note Non-Chartered Institute for Securities & Investment Qualifications can only be considered if proof is provided)*

**Qualifications** \_\_\_\_\_

**Designatory letters** \_\_\_\_\_

**Membership No.** \_\_\_\_\_

**4. Contact information** *(tick one)*

Correspondence delivered to:                       Work                       Home

Address to appear in Members directory:       Work                       Home                       None

Who will pay annual subscription?               Firm                       Self

If firm, please provide the following information:

Dept: \_\_\_\_\_ Cost centre: \_\_\_\_\_

Contact name: \_\_\_\_\_

Address (if different from above): \_\_\_\_\_

\_\_\_\_\_

**5. Type of firm** *(Please tick one box indicating primary nature of business):*

<input type="checkbox"/> Accountants	<input type="checkbox"/> Asset Management	<input type="checkbox"/> Execution Only	<input type="checkbox"/> Fund Management
<input type="checkbox"/> Investment Banking	<input type="checkbox"/> Private Banking	<input type="checkbox"/> Solicitors	<input type="checkbox"/> Stockbroking
<input type="checkbox"/> IFA	<input type="checkbox"/> Life Company	<input type="checkbox"/> Cost Accountants	
<input type="checkbox"/> Other - Please specify: _____			

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**Area of work** *(Please tick box(es)) :*

<input type="checkbox"/> Institutional Sales	<input type="checkbox"/> Market Maker	<input type="checkbox"/> Legal and Compliance	<input type="checkbox"/> Other
<input type="checkbox"/> Equities	<input type="checkbox"/> Corporate Finance	<input type="checkbox"/> Finance	Please specify: _____
<input type="checkbox"/> Bonds/Fixed Interest	<input type="checkbox"/> Institutional Fund Manager	<input type="checkbox"/> IT	_____
<input type="checkbox"/> Gilts		<input type="checkbox"/> Analyst	_____
<input type="checkbox"/> Derivatives	Private Client Advice	<input type="checkbox"/> Operations	_____
<input type="checkbox"/> Commodities	<input type="checkbox"/> Advisory	<input type="checkbox"/> Training	_____
<input type="checkbox"/> Swaps	<input type="checkbox"/> Discretionary		_____

Please fax or email both pages of this form to:

Facsimile: **+91 (22)4091 9499**  
 Email : **southasia.office@cisi.org**  
 (and also copy **membership@icwai.org**)

\* The CISI reserves the right to seek independent verification of all the information supplied on this application form as part of the assessment process. The CISI reserves the right to refuse applications where information supplied is found to be false

## 6. Declaration

1. I have not been adjudged bankrupt or insolvent or compounded with my creditors and I am not currently subject to disciplinary procedures by the FSA or any other regulator or professional body.
2. I apply to become a member of the Chartered Institute for Securities & Investment and agree to abide by the Royal Charter, Bye-laws, Regulations, and to uphold its high standards as published in its Professional Code (all available from website). Any breach of the Regulations may give rise to disciplinary procedures and termination of my membership
3. I agree to maintain competence through CPD as outlined in Annex 3 of the Membership Regulations
4. I know of no reason why I should not become an Associate member

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

## 7. Payment *(Please complete as appropriate)*

The joining fee for Associate membership is usually £25 and the annual subscription is £90. **However, the Institute has agreed to waive the joining fee for ICAI Members.** The subscription is payable at the time of application. Thereafter, subscriptions are due annually on 1st April. The membership fee is payable on a pro-rata basis at any stage of the year. Please tick the following box if you are joining between:

- Apr - Jun - £90     Jul - Sep - £67.50     Oct - Dec - £45     Jan - Mar - £112.50 (includes fees for the following subscription year)

### Payment by firm:

I authorise payment for membership to be invoiced to our general account:

Print name: \_\_\_\_\_

Signed: \_\_\_\_\_ HR department

### Payment by demand draft:

Demand draft should be made payable to:

'Chartered Institute for Securities & Investment' and crossed 'Account Payee only'.

demand draft attached

### Payment by Card:

If you would like to pay by credit card please tick here

Once your application has been approved you will be emailed a link to pay online via the secure CISI shop.

Can you please confirm your contact email address?