



**THE INSTITUTE OF
COST ACCOUNTANTS OF INDIA**
(STATUTORY BODY UNDER AN ACT OF PARLIAMENT)
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Website :www.icmai.in

FAQs for the Certificate Course of Investment Management in association with NISM

Q1a. Can we pursue directly Level II or Level III? I am already having a demat account and I know how to invest through online terminals?

Ans: The Level 1 of the Investment Management course is designed not only to familiarise the participants with online terminal, but also deals with important concepts like price discovery, different sessions in market and their relevance, order book construction in exchange and execution, order types and risks related to execution of different types, order matching process in exchange and so on. A good understanding of this goes a long way in participants being able to execute strategies in subsequent levels. Hence, it makes good sense to go through level 1 before moving into subsequent levels.

Q1b. What is the date of starting of the classes?

Ans: We propose to begin the sessions by the month end. Latest start date being last weekend of October, 2020.

Q2. What is the scheduled time of the classes?

Ans: We plan to start the first batch with weekend sessions on Saturdays and Sundays to facilitate members who are otherwise occupied on working days. The duration of the sessions will 5 hours every weekend. Participants will be provided access to the lab simulation software and are expected to spend an hour during the week to practice the hands on session and complete assignments. Lab software will be working between 9.30am and 3.30 pm during market working hours.

Scheduled time - Saturday (Preferably 2 pm to 4 pm) and Sunday (Preferably 10 am to 1 pm).
Subject to change based on faculty exigencies.



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Q3. Whether recorded classes will be provided.

Ans: As such the recorded lectures will not be available. The sessions are scheduled as live webinar sessions so that there is healthy interaction between the faculty and participants

Q4. What are the study materials for the course?

Ans: Detailed course outline and session plans will be shared. The classroom ppts will be shared with the participants. Lab manual will be provided as Pdf reading material. Faculty will also provide the list of books that can be used by the students for more detailed and indepth study of the subject, which the students can arrange to procure on their own.

Q5. Whether examination fees have to be paid after completion of the course.

Ans: No. For the first attempt of the exam there is no additional fees to be paid. But for subsequent attempts exam fees may be charged.

Q6. Whether 100 % attendance is compulsory or not.

Ans: Yes

Q7. Whether any exemption for Level I is given or not.

Ans: No exemption is provided for Level I.

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Q8. What is the mode of certificate provided after successful completion of the course?

Ans: Level completion certificate will be provided to those students who satisfactorily complete the assessments and assignments. The certificate will be issued jointly by ICAI and NISM.

Q9. Whether the time schedule is provided for the classes.

Ans: Currently planned time schedule is Saturday (Preferably 2 pm to 4 pm) and Sunday (Preferably 10 am to 1 pm). The same is subject to change depending upon exigencies.

Q10. Please provide the names of the faculties for the course.

Ans: Dr. Latha Chari, Mr. Vishal Padole, Dr. Pradiptarathi Panda and Mr.

MerajInamdar. For details, please visit <https://www.nism.ac.in/index.php/our-team>

Q11. Whether CMA qualified students who are not graduates are eligible for the course.

Ans: Yes.

Q12. Please provide the breakup of 30 hours for the classes i.e. theory and practical.

Ans: The beginning 3-5 hours will cover basic theory. Rest of the sessions will be a combination of theory and hands on sessions and as such cannot be segregated and provided.

Q13. Whether we can get access to NISM e library during the course.

Ans: No.

Q14. After completion of courses whether we will get any preference in the work of capital markets.

Ans: Depending upon the skill sets and competencies you may get opportunities in capital markets. This course will help those aspiring to make a career in the Capital market and Financial services Sector by giving an edge over others



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Q15. What is the mode of examination?

Ans: Online

Q16. Whether classroom exercises would be evaluated for the final assessment.

Ans: It is important for the participants to complete the assignments and activities provided in the classroom to build high level of competencies. The activities or assignments that will be assessed will be mentioned by course faculty. These assessments are mandatory submissions.

Q17. Whether we will get another opportunity to sit for the examination if we are not successful in the 1st attempt and what the course fees.

Ans: Yes. Nominal examination fees will be charged for exam resit.

Q18. Whether we will be given any mock Q & A for the examination.

Ans: No. Sincere attendance in the sessions and completion of activities will help the participants to complete the examinations successfully.

Q19. Whether we need any special software to run for the coursework.

Ans: YES. Access to the lab software will be provided for a limited period of 1 month. Participants should mandatorily have a lap top or desk top. They should also have Windows operating system version later than version 7. Good internet connectivity is must. First time installation support will be provided by NISM.

Q20. Whether we will be provided any hard copies of the study materials.

Ans: No.